F&A Townhall

Outline

• UU Research Funding Report
• Review of New F&A Distribution Model
• FY21 F&A Distribution and Usage
Sponsored Project Awards (FY11-20)

(Total Direct & Indirect Costs)

Sources: UU Office of Sponsored Projects
Sources of Sponsored Funding (FY20)

- Federal: 66%
- Industry: 12%
- Foundation: 9%
- Subcontract: 6%
- Other: 4%
- State: 3%

$603M

FY20
Sources of Federal Funding (FY20)

- NIH: 58%
- DOE: 14%
- NSF: 10%
- DHHS: 7%
- DOD: 4%
- VA: 3%
- Other: 4%

Total: $401M

FY20
Awards by College & Institute (FY20)
Congratulations on another great year in research!

$603M Funding
2401 Awards
UU joins AAU
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Guiding Principles for New F&A Model

The Task Force recommended that F&A Usage/Distributions:

• Be **Transparent** to the Broader Research Community

• Promote a **Culture of Fairness**

• Incentivize/Reward **Excellence** in Research Performance

• Align **Responsibility** with Resources
Responsibility for RA Tuition & Stipends Shifted to Units

Former Model
- Research Admin & Strategic Investment: 43%
- RA Tuition & Stipend: 16%
- Colleges: 15%
- Institutes: 6%
- SVPs: 20%

FY21-25
- Research Admin & Strategic Investment: ~45%
- Colleges & Institutes: Up to 35%
- SVP: 20%

F&A Distribution: Former vs. New
### Units Received Increased F&A in FY21

<table>
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<tr>
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<th>Former Model 15% Distribution</th>
<th>New Model 25-35% Distribution</th>
<th>Total Increased Funds</th>
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<tbody>
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<td><strong>Funds</strong></td>
<td>$18.9M</td>
<td>$33.7M</td>
<td>$14.8M</td>
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<td><strong>To</strong></td>
<td>To Colleges/Institutes</td>
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*Increased Funds to the Colleges and Institutes under the New F&A Distribution Model*
Key Points Regarding Changes to RA Tuition

• RA’s continue to receive TBP under the new model (all managed by graduate school) and eligibility has not changed
  • The TBP for TAs, GAs, and GFs continue to be supported by the graduate school

• Tuition cost for students taking thesis SCH has been significantly reduced and UofU graduate student tuition costs remain lower than PAC12 peers

• Non-resident and first-year out-of-state students are charged resident rates

• Further details and information can be found at: https://osp.utah.edu/news/tuition-benefit.php
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Current UofU F&A Rates

- Research 52.5%
- Instructional Training 47.5%
- Other Sponsored Activities 36.5%
- Training Grants, Foundations, etc: Lower F&A Rates

Subcontracts:
F&A charged on first $25k

F&A Expenditures Data (FY11-20)

Sources: UU Office of Sponsored Projects
FY21 F&A Distribution and Usage

- Colleges & Institutes: Up to 35%
- SVP: 20%
- Research Admin & Strategic Investment: ~45%
FY21 F&A Distributions to SVP’s

- SVPHS: ~$13M
- SVPAA: ~ $6.5M

- SVPs 20%
- Research Admin & Strategic Investment 45%
- Colleges & Institutes Up to 35%
- Up to 35%
### SVP Investments

**SVPA**

College Lab and startup support:
- Faculty salary (3 years)
- Lab personnel
- Equipment

Majority goes to *Engineering and Science* with smaller amounts distributed to other colleges/institutes.

**SVPHS**

Health Sciences Cores: 11.4%
- Infrastructure: 38.4%
- Lease: 21.2%
- Recruitment/Retention: 29.0%

Infrastructure includes building renovations/remodels, systems and institutional support for CCTS, SVPHS Research unit, etc.
FY21 F&A Distributions to Colleges/Institutes

- **SVPs**: 20%
- **Research Admin & Strategic Investment**: 45%
- **Colleges & Institutes Up to 35%**

**Colleges**
- HSC: ~$20.1M
- MC: ~$7.4M

**Institutes**
- ~$6.1M
- HCI: ~$4.7M
- SCI: ~$905K
- EGI: ~$533K

**Total**
- ~$27.5M

Note: Figures are approximate and based on FY21 distributions.
FY21 F&A Distributions: Research Admin & Strategic Investments

- Research Admin: ~$32M
- Strategic Investment: ~$13.5M
- Central Pool Bridge: ~$9M

Research Admin & Strategic Investment: 45%

SVPs: 20%

Colleges & Institutes: Up to 35%
FY21 F&A Allocation for Strategic Investments

- Building O&M and Bonds: 27%
- IT: 22%
- Cores/Recharge Centers: 1%
- AVPRI&C Office (IRB, COI, RGE): 10%
- Grant Support Pipeline: 15%
- Preclinical Research Support: 8%
- EHS/Lab Safety: 9%
- Other (Library, UROP, Grad Sc): 8%
- Research Admin & Strategic Investment: 45%
- Colleges & Institutes Up to 35%
Strategic Investment
Seed Funds/Awards: ~$2M
Cost-Share: ~$1.5M
UPDB: $400K
Rainy Day: $1M
Additional SVP Allocations: $5.5M
Vivarium Updates: $2M
VPR Strategic Investments: $806K
Bridge to Colleges: $800K
One U Strategic Funds: ~$2.5M
What are the goals and expectations going forward?

**Goals:**
- Intended to reward and incentivize research excellence, and level the playing field
- Provide more resources to colleges and institutes for research

**Evaluation:**
- Analyze over the next five years
- Primary Metrics: Sustain and grow UofU research and enhance scholarly activity
Contact Information
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