F&A Townhall
September 2019

Andy Weyrich
Vice President for Research
Outline

- UU Research Funding Report
- FY20 F&A Distribution and Usage
- New F&A Distribution Model (effective FY21)
Sponsored Project Awards

(Total Direct & Indirect Costs)

Sources: UU Office of Sponsored Projects
Sources of Sponsored Funding (FY 2019)

- Federal: 64%
- Foundation: 8%
- State: 2%
- Industry: 14%
- Other: 12%

Total: $547M

FY2019
Sources of Federal Funding (FY 2019)

- NIH: 59%
- NSF: 11%
- DOE: 8%
- DOD: 9%
- VA: 4%
- OTHER DHHS: 4%
- OTHER FEDERAL: 5%

Total: $358M
FY2019
Awards by College & Institute (FY 2019)

- Architecture
- Business
- Dentistry
- Education
- Engineering
- Fine Arts
- Health
- Humanities
- Law
- Medicine
- Mines & Earth Sciences
- Nursing
- Pharmacy
- Science
- Social Work
- Social & Behavioral
- EGI
- HCI
- SCI
- ISCE
Congratulations on another great year in research!

$547M Funding

2896 Awards
Outline

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Current UofU F&A Rates

- Research 52.5%
- Instructional Training 47.5%
- Other Sponsored Activities 36.5%
- Training Grants, Foundations, etc: Lower F&A Rates
- Subcontracts:
  - F&A charged on first $25k

F&A Expenditures Data FY 10 -19

Sources: UU Office of Sponsored Projects
F&A Distribution - Current

- Research Admin & Strategic Investment: 43%
- SVPs: 20%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- Center/Institutes: 6%

- F&A Distribution - Current
SVP Distribution in FY20: ~$21M

- Research Admin & Strategic Investment: 43%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- Center/Institutes: 6%
- SVPs: 20%

SVPHS: ~$14M
SVPAA: ~$7M
College Distribution in FY20: ~$16M

- SVPs: 20%
- Colleges: 15%
- Center/Institutes: 6%
- Research Admin & Strategic Investment: 43%
- RA Tuition & Stipend: 16%

Colleges: ~$16M
- HSC: ~$10.4M
- MC: ~$5.6M
Institute Distribution in FY20: ~$6M

- Center/Institutes: ~$6M
  - HCI: ~$4.3M
  - EGI: ~$305K
  - Moran: ~$261K
  - WCI: ~$669K
  - SCI: ~$529K
  - ICSE: ~$139K

- Research Admin & Strategic Investment: 43%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- SVPs: 20%
- Center/Institutes: 6%
RA Tuition & Stipends Distribution in FY20: ~$17M

- Tuition: ~$14M
- First-Year Stipends: ~$3M
- Student Fees: ~$172K

- RA Tuition and Stipend: 16%
- Research Admin & Strategic Investment: 43%
- SVPs: 20%
- Colleges: 15%
- Center/Institutes: 6%
Research Admin & Strategic Distribution in FY20: ~$47M

- Research Admin: ~$27M
- Strategic Investment: ~$20M

- SVPs: 20%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- Center/Institutes: 6%
- Research Admin & Strategic Investment: 43%
Research Admin Distribution in FY20: ~$27M

- Building O&M and Bonds: 26%
- IT: 24%
- ROC: 1%
- AVPRI&C Office: 11%
- Preclinical Research Support: 9%
- EHS/Lab Safety: 8%
- Other: 2%
- Cores/Recharge Centers...

- Grant Support Pipeline: 18%
- Research Admin & Strategic Investment: 43%
- SVPs: 20%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- Center/Institutes: 6%
Strategic Investment Distribution in FY20: ~$20M

- Seed Funds/Awards: ~$2M
- Cost-Share: ~$1.5M
- UPDB: $400K
- Rainy Day: $1M
- SVP Strategic Funds: ~$5M-$7M
- Vivarium Updates: $2M
- Lab Safety: ~$500K
- VPR Discretionary: $800K
- Library Support: $250K
- Bridge (F&A): ~$5M-$7M
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- New F&A Distribution Model (effective FY21)
The Process

Presidential Task Force Commissioned

Operational logistics and feasibility
Meetings with Grad School, CFO, Senior Leaders

Task Force Report Submitted

Strategic Planning with Units
Annual meetings with unit leaders
Annual F&A town halls

Future

• Feedback from Colleges/Institutes
• Town Halls
Guiding Principles for F&A changes

The Task Force recommended that F&A Usage/Distributions:

- Be **Transparent** to the Broader Research Community
- Promote a **Culture of Fairness**
- Incentivize/Reward **Excellence** in Research Performance
- Align **Responsibility** with Resources
F&A Distribution: Current vs. New

**Current**
- Research Admin & Strategic Investment: 43%
- SVPs: 20%
- Colleges: 15%
- RA Tuition & Stipend: 16%
- Center/Institutes: 6%

**FY21-25**
- Research Admin & Strategic Investment: ~45%
- SVP: 20%
- Colleges & Centers/Institutes: Up to 35%

Responsibility for RA Tuition & Stipends Shifted to Units
What Does “Up To 35%” Mean?

Flexibility to Adjust Distribution based on:

1. Institutional Capacity
2. OneU Research Strategic Initiatives/Needs
3. Performance
Responsibility for RA Tuition & Stipends Shifted to Units

Bridge Funds to Ease the Transition for:

1. Units who have relied heavily on central funding to support RA graduate student tuition and/or first-year rotation stipends

2. Transition to reduced tuition costs for RA’s enrolled in thesis SCH
Key Points Regarding Changes to RA Tuition

• RA’s will continue to receive TBP, and TBP will be managed by the grad school
  • The TBP for TAs, GAs, and GFs will continue to be supported centrally at its current level

• Tuition cost for students taking thesis SCH will be significantly reduced, and graduate student tuition costs will remain lower than PAC12 peers

• Non-resident and first-year out-of-state students will be charged resident rates

• Further details and information can be found at: https://osp.utah.edu/news/tuition-benefit.php
How Will RA Graduate Expenses be Supported at the Unit Level?

• Increased F&A returned to the Unit

• Tuition on Faculty Grants
  • Instructions for placing tuition on grants can be found at https://osp.utah.edu/policies/procedure-library/tuition-on-grants.php.

• Other (Philanthropy, Training Grants, Predoc Grants, etc.)
Take Home – Key Points

• These recommendations were articulated through a campus-wide conversation with our research community

• Equity in F&A allocation should foster interdisciplinary collaborations across units

• Going forward units will have more flexibility to grow research through:
  • Faculty recruitment and retention
  • Staff support
  • Physical and/or programmatic infrastructure
Contact Information

VPR Website: research.utah.edu

Directly contact:

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